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FORI (REV	M PTO-13	390 (Modified) U.S. DEPARTMENT	OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER			
			TO THE UNITED STATES	1787			
		DESIGNATED/ELECTI	ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR			
		CONCERNING A FILIN	G UNDER 35 U.S.C. 371	09/98099T			
INT		TIONAL APPLICATION NO.	PRIORITY DATE CLAIMED				
TIT		PCT/DE 00/01342 INVENTION	APRIL 28, 2000	JUNE 19, 1999			
PIE	ZOE		HA MULTI-LAYER STRUCTURE OF	PIEZOELECTRIC PLIES, AND A			
APP	LICAN	T(S) FOR DO/EO/US					
Ber	tram	SUGG, Friedrich BOECKIN	G				
App	licant	herewith submits to the United Sta	tes Designated/Elected Office (DO/EO/US) the	e following items and other information:			
1.	\boxtimes	This is a FIRST submission of it	ems concerning a filing under 35 U.S.C. 371.				
2.			UENT submission of items concerning a filing				
3.	\boxtimes	This is an express request to begin examination until the expiration	in national examination procedures (35 U.S.C. of the applicable time limit set in 35 U.S.C. 37	371(f)) at any time rather than delay			
4.	×			19th month from the earliest claimed priority date.			
5.	\boxtimes		ication as filed (35 U.S.C. 371 (c) (2))	duc.			
	Alte Va	- -	(required only if not transmitted by the International	ational Bureau).			
	Mar after Amilian Amil	b. 🛭 has been transmitted by	the International Bureau.	,			
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).							
7.	7. A copy of the International Search Report (PCT/ISA/210).						
8.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
a gre transmitted herewith (required only if not transmitted by the International Parent)							
b. \square have been transmitted by the International Burcau.							
	la la		wever, the time limit for making such amendm	nents has NOT expired.			
	The state of the s	d. have not been made and					
9.			to the claims under PCT Article 19 (35 U.S.C.	371(c)(3)).			
10.	X	An oath or declaration of the inve					
11.	Tropy of the International Tremmany Examination Report (1 CT/11 L/L 105).						
12.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
I	tems 1	3 to 18 below concern document	(s) or information included:				
13.	×	An Information Disclosure States	ment under 37 CFR 1.97 and 1.98.				
14.	\boxtimes	An assignment document for reco	rding. A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.			
15.	\boxtimes	·					
		A SECOND or SUBSEQUENT	preliminary amendment.				
16.		A substitute specification.					
17.		A change of power of attorney and/or address letter.					
18.	\boxtimes	Certificate of Mailing by Express	Mail				
19.		Other items or information:					
		L	ET75532H	623 US			

Page 1 of 2

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to Deposit Account No. 19-4675 A duplicate copy of this sheet is enclosed.									
OTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
END ALL CORRESPONDENCE TO:									
103 EA	TRIKER, STRIKER & STENBY 03 EAST NECK ROAD HUNTINGTON, NEW YORK 11743								
							EL J.	STRIKER	
						NAME			
						27233			
						REGISTRA	ATION	NUMBER	
						DECEMI	BER 6	6, 2001	
						DATE			

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UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 1787

In re:

Applicant:

SUGG

Serial No.:

For:

PIEZOELECTRIC ELEMENT

WITH A MULTILAYER...

SIMULTANEOUS AMENDMENT

December 5, 2001

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Simultaneously with filing of the above identified application, please amend the same as follows:

In the specification:

Please amend the specification as attached.

In the claims:

Cancel all claims without prejudice.

Add the claims as attached.

REMARKS

This Amendment is submitted simultaneously with filing of the above identified application.

With the present Amendment applicants have amended the specification to bring it in compliance with the requirements of the U.S. Patent Practice.

The original claims have been canceled and replaced with a new set of claims.

Consideration and allowance of present application is most respectfully requested.

Should the Examiner require or consider it advisable that the specification, claims and/or drawings be further amended or corrected in formal respects in order to place this case in condition for final allowance, then it is respectfully requested that such amendments or corrections be carried out by Examiner's Amendment, and the case be passed to issue. Any costs involved should be charged to the deposit account of the

undersigned (No. 19-4675). Alternatively, should the Examiner feel that a personal discussion might be helpful in advancing this case to allowance, he is invited to telephone the undersigned (at 631-549-4700).

Respectfully submitted,

Michael J. Striker Attorney for Applicants

Reg. No. 27233

In the specification:

Page 1, line 4, change the heading "Prior Art" to -- Background of the Invention --.

On page 1, please amend the first paragraph on lines 6-9 as follows:

The invention concerns a piezoelectric element with a multilayer structure of piezoelectric piles and a method for producing it, e.g., for a piezoelectric actuator for actuating a mechanical component such as a valve or the like[, according to the features-based on the general class-of the primary claim].

Page 1, line 24, replace the heading "Advantages of the Invention" to -- Summary of the Invention --.

After this heading please insert :

-- In keeping with these objects and with others which will become apparent hereinafter, one feature of the present invention resides,

briefly stated, in a piezoelectric element, comprising a multilayer structure of piezoelectric plies; said internal electrodes arranged between said piezoelectric plies; a lateral contacting of said internal electrodes in alternate directions via external electrodes, said piezoelectric plies individually being composed of a continuous film that is foldable during manufacture and provided at least partially with said electrodes which are electrically conductive, said film being at least partially metalized to produce said electrodes, said piezoelectric plies being formed by folding at notches applied at specific intervals transversely to a direction of folding, said internal electrodes are formed by metalized layers lying on an inside of the notches after the folding, and said metalized layers being interrupted on an outside of the notches, said internal electrodes being contacted with said external electrodes on insides of said notches projecting outwards after the holding.—

On page 3, line 26, change the heading "Diagram" to -- Brief Description of the Drawings --.

On page 4, line 8, change the heading "Description of the Exemplary Embodiment" to -- Description of the Preferred Embodiments --.

On page 1, amended first paragraph on lines 6-9:

The invention concerns a piezoelectric element with a multilayer structure of piezoelectric piles and a method for producing it, e.g., for a piezoelectric actuator for actuating a mechanical component such as a valve or the like.

CLAIMS

New claims:

9. A piezoelectric element, comprising a multilayer structure of piezoelectric plies; internal electrodes arranged between said piezoelectric plies; a lateral contacting of said internal electrodes in alternate directions via external electrodes, said piezoelectric plies individually being composed of a continuous film that is foldable during manufacture and provided at least partially with said electrodes which are electrically conductive, said film being at least partially metalized to produce said electrodes, said piezoelectric plies being formed by folding at notches applied at intervals transversely to a direction of folding, said internal electrodes being formed by metalized layers lying on an inside of the notches after the folding, and said metalized layers being interrupted on an outside of the notches, said internal electrodes being contacted with said external electrodes on insides of said notches projecting outwards after the folding.

10. A piezoelectric element as defined in claim 9, wherein only every other surface between the notches is metalized at least on one side of side film.

- 11. A piezoelectric element as defined in claim 9, wherein said external electrodes are composed of an electrically conductive material selected from the group consisting of a screen and a net.
- 12. A piezoelectric element as defined in claim 9, wherein said external electrodes are wave electrodes.
- 13. A piezoelectric element as defined in claim 9, wherein said multilayer structure of said piezoelectric plies is provided with an electrically insulating ceramic plate at each end of said folded plies.
- 14. A piezoelectric element as defined in claim 9, wherein the piezoelectric element is formed as a component of a piezoelectric actuator which is usable to actuate a mechanical component.
- 15. A piezoelectric element as defined in claim 9, wherein the piezoelectric element is formed as a component of a piezoelectric actuator which is usable to actuate a mechanical component which is a valve.
- 16. A method of producing a piezoelectric element having a multilayer structure of piezoelectric plies, comprising the steps of cutting a

piezoelectric film to a width of the piezoelectric element and providing the cut piezoelectric film with notches at intervals always in alternate directions; metalizing the piezoelectric film at least partially on both sides; folding the piezoelectric film at the notches around an inside of the notch; applying external electrodes by soldering to an internal electrode in a bending region, in the inside of the notch projecting outward after the folding.

17. A method as defined in claim 16; and further comprising installing on external piezoelectric plies before sintering an electrically insulating head and foot plate composed of piezoelectric ceramic.

1/ PRTS

09/980991 JC10 Rec'd Pati/P10 0 6 DEC 2001

PIEZOELECTRIC ELEMENT WITH A MULTILAYER STRUCTURE OF PIEZOELECTRIC PLIES, AND A METHOD FOR PRODUCING IT

Prior Art

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The invention concerns a piezoelectric element with a multilayer structure of piezoelectric plies and a method for producing it, e.g., for a piezoelectric actuator for actuating a mechanical component such as a valve or the like, according to the features—based on the general class—of the primary claim.

It is generally known that, by utilizing the "piezoelectric effect", a piezoelectric element can be constructed of a material having a suitable crystal structure.

When an external electrical voltage is applied, a mechanical reaction of the piezoelectric element takes place that, depending on the crystal structure and the application regions of the electric voltage, represents a push or pull in a

specifiable direction. The construction of this piezoelectric actuator can take place here in a plurality of layers (multilayer actuators), and the electrodes, via

which the electrical voltage is applied, are always arranged between the layers.

The respective internal electrodes are hereby always separated from the external electrodes by a space, so that a short circuit does not take place here. The expense to stack the individual piezoelectric plies is thereby very high, because up to many hundred individual film layers must be processed separately.

Advantages of the Invention

The piezoelectric element described initially having a multilayer structure of piezoelectric plies, with electrodes arranged between them and a lateral contacting of electrodes in alternate directions can advantageously be a component of a piezoelectric actuator that can be used to actuate a mechanical component such as a valve or the like. According to the invention, the individual piezoelectric plies are composed of a continuous film made of piezoceramic that

can be folded during manufacture, that are provided entirely or partially with 1 electrically conductive electrodes on their surface. 2 3 In a preferred embodiment, the film for producing the electrodes is entirely or 4 partially metallized, and the piezoelectric plies are formed by means of folding at 5 notches applied at specified intervals transverse to the direction of folding, and 6 the internal electrodes are formed by the metallized layers between the 7 piezoelectric plies lying on the inside of the notches after the folding, and the 8 metallized layers on the outside of the notches are interrupted by the notches. 9 10 The metallized layers can be applied, for example, by means of printing or 11 sputtering. The internal electrodes are thereby contacted with the external 12 electrodes on the insides of the notches projecting outward after the folding. The 13 notch angle α can thereby be adapted individually to the thickness of the film or 14 15 other circumstances. 16 In advantageous fashion, only every other surface between the notches can be 17 metallized on at least one side of the film in each case without impairing the 18 19 formation of internal electrodes. 20 21 External electrodes can be applied on the outside in each case in the notch region of the folded film in simple fashion to form the contacting at the metallized 22. 23 layer or the internal electrode in alternate directions, and the external electrodes can be composed of an electrically conductive screen or net, or of a wave 24 25 electrode. 26 27 In order to insulate the entire piezoelectric element against the outside, the 28 multilayer structure of the piezoelectric plies is provided with an electrically

insulating ceramic plate on each end of the folded plies.

29 30

1	In an advantageous method for producing a piezoelectric element of the type
2	described previously, the following production steps are carried out:
3	
4	- The piezoelectric film is cut to the width of the piezoelectric element and
5	provided with notches at specified intervals, always in alternate directions.
6	
7	- The piezoelectric film is now entirely or partially metallized on both sides.
8	
9	- The piezoelectric film is then folded at the notches, always around the
10	inside of the notch.
11	
12	- The external electrodes are e.g., soldered to the internal electrodes in the
13	bending region in the inside of the notch projecting outward after the
14	folding.
15	
16	- One electrically insulating head and foot plate each are applied to the
17	external piezoelectric plies.
18	
19	These and further features of preferred further developments of the invention
20	also arise from the description and the diagrams, in addition to the claims, and
21	each of the individual features can be realized on its own or in plurality in the
22	form of sub-combinations in the exemplary embodiment of the invention and in
23	other fields, and can represent advantageous and patentable embodiments in
24	themselves, for which protection is claimed here.
25	D'a mana
26	Diagram
27	
28	An exemplary embodiment of the piezoelectric element according to the
29	invention for forming a piezoelectric actuator is explained using the diagram.
30	

1 Figure 1 shows a sectional view through a multilayer structure of the piezoelectric 2 element composed of a piezoelectric film, produced by folding at the notches: 3 4 Figure 2 shows a detailed view of a notched film with continuous metallizing, and 5 6 Figure 3 shows a detailed view of a notched film with partial metallizing. 7 8 Description of the Exemplary Embodiment 9 A piezoelement 1 for forming a piezoelectric actuator is shown in Figure 1, that is 10 11 constructed of a piezoelectric film 2 of a ceramic material having a suitable 12 crystal structure, so that, by utilizing the "piezoelectric effect", a mechanical 13 reaction of the piezoelectric actuator takes place in the direction of the arrow 3 14 when an external electrical voltage is applied. 15 16 It is obvious in Figure 1 that piezoelectric plies 4 are formed by means of a 17 folding of the piezoelectric film 2 that is shown in the state before its folding in 18 Figure 2. The piezoelectric film 2 was cut before folding to the width of the 19 piezoelectric element 1 and metallized on both sides so that electrodes form that, 20 after folding, act as internal electrodes 6 and 7, each in an alternate direction. 21 22 It is shown in Figure 2 that the piezoelectric film 2 has been provided with 23 notches 5 (i.e., 5.1, 5.2 in the sectional view shown) before folding, which are 24 thereby created in the film 2 in alternate directions and form an approximate 25 angle α . The folding takes place here, e.g., in the notch 5.1 around the arrow 8, 26 so that one of the internal electrodes (e.g., the internal electrode 6) forms on the 27 left side after the folding-on top of each other. The other internal electrodes (one 28 of the internal electrodes 7 here) form on the right side, also on both sides of the

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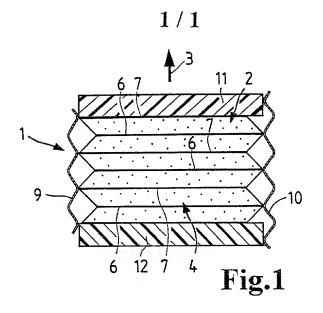
open side of the notch 5.1 in each case.

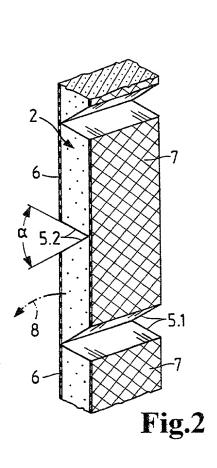
	1	After laminating and sintering, the stack of piezoelectric plies 4 folded in this
	2	fashion is provided with external electrodes 9 and 10, each of which is composed
	3	of a metallized wave electrode in the exemplary embodiment shown. The
	4	external electrodes 9 and 10 are connected with the metallized layer on the
	5	piezoelectric plies 4 in electrically conductive fashion in the respective projecting
	6	folding region of the previous notches 5, so that an electrical voltage can be
	7	applied to the internal electrodes 6 and 7 to create the piezoelectric effect.
	8	
	9	Additionally, one electrically insulating head plate 11 and a foot plate 12 each are
	10	applied to the external piezoelectric plies 4, by means of which the entire
	11	piezoelectric element 1 can be insulated against the outside.
	12	
Hart 1977	13	According to Figure 3, which shows a piezoelectric film 20 in the state before its
	14	folding, only partial regions of the piezoelectric film 20 are provided with
the fact that they they that	15	electrodes 21 and 22, in contrast to the piezoelectric film 2 according to Figure 2.
	16	These electrodes 21 and 22 are applied to one of the opposing sides of the
100	17	piezoelectric film 20 in alternate directions in each case, so that piezoelectric
min Hunt	18	plies 4 also form as described using Figure 1, but the resultant internal
ALL HAN	19	electrodes 21 and 22 here have a smaller thickness, because they are formed by
	20	means of a one-sided coating only.
	21	
	22	
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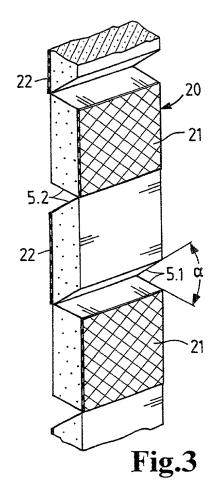
	1		Claims
	2		
	3	1.	Piezoelectric element with a multilayer structure of piezoelectric plies (4)
	4		having electrodes (6, 7; 21, 22) arranged between them, and having
	5	-	a lateral contacting of the electrodes (6, 7; 21, 22) in alternate directions
	6		via external electrodes (9, 10), wherein
	7	-	the individual piezoelectric plies (4) are composed of a continuous film (2;
	8		20) that can be folded during manufacture, that are entirely or partially
	9		provided with the electrically conductive electrodes (6, 7; 21, 22).
	10		
	11	2.	Piezoelectric element according to Claim 1, characterized in that
101	12	-	the film (2; 20) for producing the electrodes (6, 7; 21, 22) is entirely or
W	13		partially metallized, that
the state of the s	14	-	the piezoelectric plies (2; 20) are formed by folding at notches (5, 5.1, 5.2)
100	15		created at specified intervals transverse to the direction of folding, wherein
A Grant	16		the internal electrodes (6, 7; 21, 22) are formed by the metallized layers
2	17		lying inside the notches (5, 5.1, 5.2) after the folding, and the metallized
100 Marie 1	18		layers are interrupted on the outside of the notches (5, 5.1, 5.2), and that
	19	-	the internal electrodes (6, 7; 21, 22) are contacted with the external
# # # # # # # # # # # # # # # # # # #	20		electrodes (9, 10) on the insides of the notches (5, 5.1, 5.2) projecting
2.00	21		outward after the folding.
	22		
	23	3.	Piezoelectric element according to Claim 2, characterized in that
	24	-	only every other surface between the notches (5, 5.1, 5.2) is metallized in
	25		each case, at least on one side of the film (20).
	26		
	27	4.	Piezoelectric element according to Claim 2 or 3, characterized in that
	28	-	the external electrodes (9, 10) are composed of an electrically conductive
	29		screen or net.
	30		
	31	5.	Piezoelement according to Claim 2 or 3, characterized in that

29

1	-	an electrically insulating head and foot plate (11, 12) composed of
2		piezoelectric ceramic are installed on the external piezoelectric plies (4)
3		before sintering.
4		







DECLARATION AND POWER OF ATTORNEY FOR NATIONAL STAGE OF PCT_PATENT APPLICATION

As a below-named inventor, I hereby declare that:

Bertram SUGG Friedrich BOECKING

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PIEZOELECTRIC ELEMENT WITH A MULTI-LAYER STRUCTURE OF PIEZOELECTRIC PLIES, AND A METHOD FOR PRODUCING IT** the specification of which was filed as PCT International Application number PCT/DE 00/01342 on April 28, 2000.

I hereby state that I believe the named inventor or inventors in this Declaration to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365 (b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign application(s):

Priority claimed:

199 28 188.2	GERMANY	JUNE 19, 1999	X	No
(Number)	(Country)	(Date filed)	Yes	
(Number)	(Country)	(Date filed)	Yes	No

As a named inventor, I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael J. Striker, Reg. No. 27233

Direct all telephone calls to Striker, Striker & Stenby at telephone no.: (631) 549 4700 and address and all correspondence to:

STRIKER, STRIKER & STENBY 103 East Neck Road Huntington, New York 11743 U.S.A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statement

may jeopardize the validity of the application or any patent issued thereon.

Signature: Albert Judy Full Name of First or Sole Inventor: Bertram SUGG	Date: × 24.09.01 Citizenship: GERMAN	Residence and Full Postal Address: Friedrich-Schaffert-Strasse 8 70839 Gerlingen Germany
Signature: Full Name of Second Inventor: Friedrich BOECKING	Date: X64. 8.1 Citizenship: GERMAN	Residence and Full Postal Address: Kahlhieb 34 70499 Stuttgart Germany
Signature:	Date:	Residence and Full Postal Address:
Full Name of Third Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Fourth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Fifth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Sixth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Seventh Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Eighth Inventor:	Citizenship:	
Signature:	Date:	Residence and Full Postal Address:
Full Name of Ninth Inventor:	Citizenship:	